## RECEIVED **CENTRAL FAX CENTER**

PATENT MAINTENANCE

APR 0 7 2006

Attorney Docket No.: 101137-70

2006 APR 10 PM 4: 13

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

US PATENT & TRADEMARK OFFICE

APPLICANT(S): Christiaan Daniel DEN HENGST et al.

SERIAL NO. : 10/562,601

FILED

: December 28, 2005

FOR

Methods and Means for Regulating Gene Expression

ART UNIT

To Be Assigned

**EXAMINER** 

To Be Assigned

April 6, 2006

Commissioner for Patents Refund Section, Accounting Division Office of Finance P.O. Box 1450 Arlington, VA 22313-1450

#### REQUEST FOR REFUND

#### 1. REFUND REQUEST

This is a request for a refund, with respect to charges to Deposit Account No. 14-1263, shown on the statement for January 2006, for the above identified patent application. A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

## II. FEES CHARGED FOR WHICH REFUND REQUESTED

Refund Requested

| Filing fees  |
|--|
| (Basic national fee, examination fee, search fee               |
| (International Search Report was provided)                     |
| Surcharge for filing the basic filing fee on a date later than |
| the filing fee of the application (37 CFR § 1.16(e))           |
| and/or   |

- ☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37.CFR § 1.16(e))
- ☐ Extension of the term for first month

| X | Excess Claims | \$2,200 |
|---|---------------|---------|
|   | Issue Fee     |         |
|   | Petition Fee  |         |
|   | Other         |         |

# III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

The transmittal letter contains an erroneous counting of claims. The correct number is 8 excess independent claims and 31 excess total claims. This Request for Refund seeks a refund of the overpayment. The excess overpaid charges equal \$2,200.

### IV. MANNER OF REFUND

Please make refund by crediting \$2,200 to Deposit Account No. 14-1263.

Reg. No. 33,531

Norris McLaughlin & Marcus, PA 875 Third Avenue, 18th Floor New York, N.Y. 10022 Telephone: (212) 808-0700

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being deposited via facsimile to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1430 on the date indicated below:

## Deposit Account Statement





## Deposit Account Statement

Requested Statement Month:

January 2006

**Deposit Account Number:** 

141263

Name:

Attention:

NORRIS, MCLAUGHLIN & MARCUS

WILLIAM R. ROBINSON

Address:

P.O. BOX 1018

City:

SOMERVILLE

State:

Zip:

08876-1018

Country:

UNITED STATES OF AMERICA

| DATE SEQ  | POSTING<br>REF TXT   | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE  | AMT          | BAL         |
|-----------|----------------------|---------------------------|--------------|--------------|-------------|
| 01/03 22  | 10490837             | 101371-28                 | 1806         | \$180.00     | \$30,421.34 |
| 01/03 935 | 2337313              | 101293-240                | 7205         | \$300.00     | \$30,121.34 |
| 01/04 1   | 10363272             | 102792-74                 | 1252         | \$450.00     | \$29,671.34 |
| 01/04 1   | 10535262             | 100717-869-WCG            | 1617         | \$130.00     | \$29,541.34 |
| 01/04 45  | PAYMENT              |                           | 9203         | -\$30,000.00 |             |
| 01/04 62  | 10209351             | 100717-546                | 1251         | \$120.00     | \$59,421.34 |
| 01/04 73  | 10396236             | METAL 1305-WCG 2001 00 31 | 1501         | \$1,400.00   | \$58,021.34 |
| 01/04 74  | 10396235             | METAL 1305-WCG 2001 00 31 | 1504         | \$300.00     | \$57,721.34 |
| 01/04 75  | 10398235             | METAL 1305-WCG 2001 00 31 | 8001         | \$6.00       | \$57,715.34 |
| 01/04 78  | 10483546             | 102790-164                | 150 <b>1</b> | \$1,400.00   | \$58,315.34 |
| 01/04 79  | 10483546             | 102790-164                | 1504         | \$300.00     | \$56,015.34 |
| 01/04 80  | 10483546             | 102790-164                | 8001         | \$6.00       | \$56,009.34 |
| 01/04 158 | 10383278             | 101769-210/T              | 1801         | \$790.00     | \$65,219.34 |
| 01/04 157 | 10363276             | 101769-210/T              | 1252         | \$330.00     | \$54,889.34 |
| 01/04 159 | 11321396             | 101769-339-WCG            | 1011         | \$300.00     | \$54,589.34 |
| 01/04 160 | 113213 <del>96</del> | 101769-339-WCG            | 1111         | \$500.00     | \$54,089.34 |
| 01/04 161 | 11321396             | 101769-339-WCG            | 1311         | \$200.00     | \$53,889.34 |
| 01/04 166 | 11247634             | 101215-199                | 1051         | \$130.00     | \$53,759.34 |
| 01/04 167 | 11245936             |                           | 2011         | \$150.00     | \$53,609.34 |
| 01/04 168 | 11245938             |                           | 2111         | \$250.00     | \$53,359.34 |
| 01/04 169 | 11245936             |                           | 2311         | \$100.00     | \$53,259.34 |
| 01/04 170 | 11245936             |                           | 2051         | \$65.00      | \$53,194.34 |
| 01/04 182 | 10520209             | 102134-18                 | 1617         | \$130.00     | \$53,084.34 |
| 01/04 199 | 6366319              | 20776-230US               | 8021         | \$40.00      | \$53,024.34 |
| 01/04 200 | 6366319              | 20776-230US               | 8021         | \$40.00      | \$52,984.34 |
| 01/04 208 |                      |                           | 8021         | \$40.00      | \$52,944.34 |
| 01/04 215 | 11321325             | 101769-338-WCG            | 1011         | \$300.00     | \$52,644.34 |
| 01/04 216 | 11321325             | 101769-338-WCG            | 1111         | \$500.00     | \$52,144.34 |
| 01/04 217 | 11321325             | 101769-338-WCG            | 1311         | \$200.00     | \$51,944.34 |
| 01/04 238 | 11321920             | 101769-340-WCG            | 1011         | \$300,00     | \$51,644.34 |

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NORRIS MCLAUGHLIN PAGE 04/11

| 04/0//2005 07:44         | 212808084   |                          | LAUGHLIN |            | PAGE 04/11              |
|--------------------------|-------------|--------------------------|----------|------------|-------------------------|
| Deposit Account Statemen | t           | APR 0 7 2006             |          |            | Page 2 of 3             |
| <del>-</del>             |             | 101769-340-WCG           | 1111     | \$500.00   | \$51,144.34             |
|                          |             | 101769-340-WCG           | 1311     |            | \$50,944.34             |
|                          |             |                          | 1464     | \$130.00   | \$50,814.34             |
| 01/04 582                |             |                          | 2617     | \$65.00    | \$50,749.34             |
|                          | 10518317    |                          | 2253     | \$510.00   | \$50,239.34             |
|                          |             |                          | 7004     | \$150.00   | \$50,089.34             |
| 01/05 1                  |             | 101769-194/TESA          | 1801     | \$790.00   | \$49,299.34             |
| 01/05 2                  |             | TESA 1621-WCG            | 1251     | \$120.00   | \$49,179.34             |
| 01/05 9                  |             | 101769-320 KGB           | 1632     | -\$500.00  | \$49,679.34             |
| 01/05 10                 |             | 101769-320 KGB           | 1642     | \$400.00   | \$49,279.34             |
| 01/05 41                 | D509892     | 102792-240               | 1462     | -\$400.00  | \$49,679.34             |
| 01/05 42                 | D509892     | 102792-240               | 1464     | \$130.00   | \$49,549.34             |
| 01/05 59                 |             | 101215-203               | 1051     | \$130.00   | \$49,419.3 <del>4</del> |
| 01/05 84                 |             | 101215-145               | 1251     | \$120.00   | \$49,299.34             |
| 01/05 107                |             | 102792-496               | 1051     | \$130.00   | \$49,169.34             |
| 01/05 126                |             | 105039-2                 | 2051     | \$65.00    | \$49,104.34             |
| 01/05 129                |             | 101194-74                | 1253     | \$1,020.00 | \$48,084.34             |
| 01/08 1                  | 10542622    |                          | 1617     | \$130.00   | \$47,954.34             |
| 01/06 2                  | 10542622    |                          | 1614     | -\$200.00  | \$48,154.34             |
| 01/06 9                  | 8417265     | 103079-007               | 1551     | \$900.00   | \$47,254.34             |
| 01/06 35                 |             | 101769-204 TESA 1586-WCG | 1253     | \$1,020.00 | \$46,234.34             |
| 01/06 36                 |             | TESA 1628-WCG            | 1814     | \$130.00   | \$46,104.34             |
| 01/06 37                 |             |                          | 1814     | \$130.00   | \$45,974.34             |
| 01/06 41                 |             | 101769-141               | 1801     | \$790.00   | \$45,184.34             |
| 01/06 42                 | 10081818    | 101769-141               | 1253     | \$900.00   | \$44,284.34             |
| 01/06 43                 | 10081616    | 101769-141               | 1201     | \$400.00   | \$43,884.34             |
| 01/08 43                 |             | 101137-70                | 1631     | \$300.00   | \$43,584.34             |
| 01/08 135                |             | 101137-70                | 1642     | \$400.00   | \$43,184.34             |
|                          |             | 101137-70                | 1633     | \$200.00   | \$42,984.34             |
| V 01/06 137              |             | 101137-70                | 1615     | \$2,150.00 | \$40,834.34             |
| 7 01/06 138              |             | 101137-70                | 1614     | \$3,200.00 | \$37,834.34             |
| 01/09 1                  |             | 100717557BAY             | 1253     | \$1,020.00 | \$36,614.34             |
| 01/09 28                 | 10338556    |                          |          | \$790.00   | \$35,824.34             |
| 01/09 29                 | 10338556    |                          |          | \$1,020.00 | \$34,804.34             |
| 01/09 33                 | 10343363    |                          | 2253     | \$510.00   | \$34,294.34             |
| 01/09 63                 | 10399204    |                          | 2251     | \$60.00    | \$34,234.34             |
| 01/09 79                 | 10416784    |                          | 2251     | \$60.00    | \$34,174.34             |
| 01/09 148                |             |                          | 1251     | \$120.00   | \$34,054.34             |
| 01/09 24                 |             |                          | 8021     | \$40.00    | \$34,014.34             |
| 01/09 44                 |             |                          | 1631     | \$300.00   | \$33,714.34             |
| 01/09 442                |             |                          | 1642     | \$400.00   | \$33,314.34             |
|                          | 10563261    | •                        | 1633     | \$200.00   | \$33,114.34             |
|                          | 32 78243670 | ·                        | 7004     | \$150.00   | \$32,964.34             |
| 01/10 1                  | 10472628    |                          | 1808     | \$180.00   | \$32,784.34             |
| 01/10 2                  | 10202332    |                          | 1801     | \$790.00   | \$31,994.34             |
| 01/10 30                 | D512181     | 102792-223               | 1462     | \$400.00   | \$31,594.34             |
| 01/10 31                 | D512181     | 102792-223               | 1811     | \$100.00   | \$31,494.34             |
| 01/10 53                 | 10333527    |                          | 1251     | \$120.00   | \$31,374.34             |
| 01/10 67                 | D511808     | 102792-276               | 1462     | \$400.00   | \$30,974.34             |
| 01/10 68                 | D511806     | 102792-276               | 1811     | \$100.00   | \$30,874.34             |
|                          | 5779333     | 101194-44                | 1552     | \$2,300.00 | \$28,574.34             |
|                          |             |                          |          |            |                         |

PAGE 4/11 \* RCVD AT 4/7/2006 8:46:33 AM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/14 \* DNIS:2738300 \* CSID:2128080844 \* DURATION (mm-ss):02-42 1/1 0/2006

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| <br>'04/07/2005 '07:44 2128080844<br>Deposit Account Statement |                        | 4                   | NORRIS MCLAUGHLIN                |           | PAGE 05/11<br>Page 3 of 3 |   |  |
|--|------------------------|---------------------|----------------------------------|-----------|---------------------------|---|--|
| Deposit Accou  | 01/10 121<br>01/10 142 | 6489484<br>11195212 | 101221-566<br>101313-23          | 8021      | \$40.00                   | \$27,674.34<br>\$27,634.34<br>\$27,309.34 |  |
|  |                        | BALANCE             | SUM OF<br>CHARGES<br>\$34,392.00 | REPLENISH |                           |   |  |

Need Help? | USPTO Home Page | Finance Online Shopping Page

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Regular

Utility

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Page 1 of 6

Application Information

APR 0 7 2006

Applicant Type

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Subject Matter

Suggested Classification

Suggested Group Art Unit

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::

CD-ROM or CD-R?

None

Title ::

METHODS AND MEANS FOR REGULATING

GENE EXPRESSION

Attorney Docket Number

101137-70

Request for Early Publication? ::

No

Request for Non-Publication? ::

No

Suggested Drawing Sheets

**Total Drawing Sheets** 

14

Small Entity

No

Secrecy Order in Parent Appl.? ::

No

#### First Inventor Information

Inventor Authority type

Inventor

Primary Citizenship Country

Netherlands

Status

Full Capacity

Given Name

Christiaan

Middle Name

Daniël

Family Name

DEN HENGST

City of Residence

Casainaan

Ony or recordence

Groningen

Country of Residence

Netherlands

Street

::

Oliemuldersweg 172

City

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Postal or Zip Code

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NL-9713 VK

Country

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Netherlands

Page 2 of 6

Second Inventor Information

Inventor Authority type

::

2128080844

Inventor

Primary Citizenship Country

Serbia and Montenegro

Status

Full Capacity

Given Name

Olivera

Middle Name

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Family Name

**GAJIC** 

City of Residence

Bennekom

Country of Residence ::

Netherlands

Street · ::

Esdoornlaan 7

City

Bennekom

Postal or Zip Code

NL-6721 CG

Country

Netherlands

Third Inventor Information

Inventor Authority type

Inventor

Primary Citizenship Country

Netherlands

Status

Full Capacity

Given Name

Oscar

Middle Name

Paul

......

ı auı

Family Name

KUIPERS

City of Residence

Groningen

Country of Residence

Netherlands

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Groningen

Postal or Zip Code

NL-9728 XG

Country

::

Netherlands

Page 3 of 6

Fourth Inventor Information

Inventor Authority type

::

Primary Citizenship Country

Netherlands

Inventor

Status

Full Capacity

Given Name

Jan

Middle Name

:: ::

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::

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Family Name

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City of Residence

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Country of Residence

Netherlands

Street

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City

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NL-9714 HL

Country

Netherlands

### Fifth Inventor Information

Inventor Authority type

Inventor

Primary Citizenship Country

Netherlands

Status

Full Capacity

Given Name

Jan

Middle Name

:: :: ::

::

::

::

::

::

Family Name

SIKKEMA

City of Residence

Zeegse

Country of Residence

Netherlands

Street

Hoofdweg 46

City

Zeegse ::

Postal or Zip Code

NL-9483 PC

Netherlands

Country

Page 4 of 6

Sixth Inventor Information

2128080844

Inventor Authority type

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Inventor

Primary Citizenship Country

Netherlands

Status

Full Capacity

Given Name

Middle Name

Johannes

Marie Wilhelmus

Family Name

**GEURTS** 

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Country of Residence

Netherlands

Street

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:: City

Hengelo

Postal or Zip Code

NL-7559 NK

Country

Netherlands

Seventh Inventor Information

Inventor Authority type

Inventor

Primary Citizenship Country :: Netherlands

Status

Full Capacity

Given Name

Arjen

Middle Name

::

Family Name

**NAUTA** 

City of Residence

Bennekom

Country of Residence

Netherlands

Street

Esdoomlaan 7

City

::

Zeegse

Postal or Zip Code

NL-6721 CG

Country

:: ::

Netherlands

NORRIS MCLAUGHLIN

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# Supplemental Application Data Sheet

Page 6 of 6

Assignee Information

Assignee Name

::

Friesland Brands B.V.

Street

::

Blankenstein 142

City

::

Meppel

Country

::

::

Netherlands

Postal or Zip Code

NL-7943 PE



# **UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

January 19, 2007

NORRIS, MCLAUGHLIN & MARCUS, PA 875 THIRD AVE, 18TH FLOOR NEW YORK, NY 10022 US

Dear Sir/Madam,

Your refund request for 10562601 in the amount of \$2,200.00 has been denied.

Already Processed. vw

Sincerely,

Higher W. Saurance

RITA WHITE

PCT - National

703 308-9140 x231